Safeguarding Audit Checklist: for Congregations, the Annual Inspection of Records and Local Church Review.

Congregation:………………………………………………………………  
Date checklist completed………..………………………………………..  
Completed by:………………………………………………………………

**Purpose**

This is an opportunity for congregations and Presbyteries to assess how well they are protecting children, and adults at risk, from harm. This Checklist was originally developed as a self-assessment tool for **congregations**. A General Assembly deliverance, 2015, extended its use to include the **Annual Inspection of Records** and the **Local Church Review**. The checklist is a set of **19 questions**, or standards, about what good safeguarding should look like in practice. It is the process for the audit and review of safeguarding arrangements in the Church. The aim is to identify good practice and opportunities for improvements. For help with the questionssee *Church of Scotland Safeguarding Handbook,* the Safeguarding Act, the summary of General Assembly Safeguarding Committee Deliverances, policy and practice guidance documents, posters, and key publications at <https://www.churchofscotland.org.uk/about-us/safeguarding-service/safeguarding-publications>

The questions are arranged under **three themes:**

* Policies, procedures and safeguarding arrangements
* Safe Recruitment and Management of Volunteers and Paid Staff
* Awareness of Harm/Abuse and Safeguarding Training

**Method**

1. **Congregations**: the Kirk Session could give one or two members – who are ideally not on the Safeguarding Panel – the task of using the checklist to gather information. How you gather this information is up to the Kirk Session. But the Kirk Session could get all Church groups working with children or protected adults to complete just questions 15–17. That information could then be checked against the Safeguarding Congregational Register form SG7. A short report of findings and recommendations could then be prepared for the Kirk Session.
2. **Annual Inspection of Records:** submit this SG11 form.
3. **Local Church Review:** use this revised SG11 as the inspection schedule.

**Further information** and guidance about all of the safeguarding activities in the following table and a directory of General Assembly Safeguarding Committee Deliverances is at <http://www.churchofscotland.org.uk/about_us/safeguarding_service/key_publications>

| **Safeguarding activity** |  | | **Notes/action needed** | |
| --- | --- | --- | --- | --- |
| *Theme 1:* Policies, Procedures and Safeguarding Arrangements | | | | |
| 1. Are the Church’s **Safeguarding Policy Statement,** 2010, *‘Ensuring a Safe Church for All’* and the **Safeguarding in the Church of Scotland poster,** 2015, with details about how to contact the Safeguarding Coordinator, clearly displayed? |  |  | | |
| 1. Has the Safeguarding Service received an SG10a for a new **Safeguarding Coordinator** and have theybeen recruited, PVG checked for both workforces and appointed by the Kirk Session? (More than one Coordinator can be recruited and appointed.) |  |  | | |
| 1. If a member of the congregation or a volunteer working with children or adults at risk, suspected or witnessed harm, or it was reported to them, would they immediately know how to contact the **Safeguarding Coordinator**? *(See 1 above.)* |  |  | | |
| 1. Has the Kirk Session set up a **Safeguarding Panel** and have the members been recruited, completed a Basic Disclosure check and attended the advised training (*Introductory and Advanced Safeguarding Training*)? |  |  | | |
| 1. Does the **Safeguarding Coordinator** update Kirk Session about the latest safeguarding policies and procedures? |  |  | | |
| 1. Is the **Safeguarding Congregational Register,** form SG7, up-to-date? *(This is the record of all volunteers and paid staff who are PVG Scheme members – essential for ensuring that we do not ‘employ’ barred individuals who have harmed children or protected adults.)* |  |  | | |
| 1. Are the Kirk Session, the Minister and the Safeguarding Panel aware of the policy for **managing those who pose a risk** (sex offenders), and the procedure for their safe inclusion in worship or in any other church activities, as described in the *Safeguarding Handbook*? |  |  | | |
| 1. Prior to planned activities for children or adults at risk is the Safeguarding Service **Risk Assessment Tool** being used to identify and address potential risks?   *(Use form SG20, detailed in the Church of Scotland Safeguarding Handbook).* |  |  | | |
| 1. Does the Kirk Session have a standard signed **Let Agreement** with individuals or groups who hire Church premises and encourage their compliance with the PVG Scheme if they are providing a service for children or protected adults? *(See Church of Scotland Safeguarding Handbook).* |  |  | | |
| 1. Is the Kirk Session aware of the **Duty to Refer,** for the Protection of Vulnerable Groups (Scotland) Act 2007?   *(See Church of Scotland Safeguarding Handbook).* |  |  | | |
| 1. Are all confidential paper and electronic **safeguarding** **records** stored in a secure and confidential place and, when necessary, destroyed? |  |  | | |
| *Theme 2:* Safe Recruitment and Management of Volunteers and Paid Staff | | | | |
| 1. Has the Kirk Session ensured that all new paid staff and volunteers doing regulated work with vulnerable groups have completed the **safe recruitment process** and been appointed by Kirk Session?*(Job description, interview, two reference, PVG Scheme membership or update etc. See Safeguarding Handbook)*. 2. Has a joint working agreement been completed for all joint working initiatives? *(See Church of Scotland Safeguarding Handbook).* |  |  | | |
|  |  |  | | |
| *Theme 3:* Awareness of Harm/Abuse and Safeguarding Training | | | | |
| 1. Have all volunteers and paid staff been issued with the **Pocket Guide** *Safeguarding Children and Adults at Risk: Key Information for Volunteers and Staff*. And has the content of this short guide been discussed with them individually or as a group? |  |  | | |
| 1. **Reporting harm or abuse**: do all volunteers and paid staff know the procedure to follow if harm or abuse is suspected, witnessed or reported to them, as set out in the Pocket Guide(see 17 above). This includes suspected or reported **non-recent abuse**. |  |  | | |
| 1. Have all volunteers and paid staff attended the introductory level of Church of Scotland **safeguarding training**? Is there a record of who has attended safeguarding training, and when, and is refresher training needed for some? Please fill in the training needs in notes field. *(See the Safeguarding Service webpage, for types of training and how to arrange them).* |  | Total numbers who attended Introductory Safeguarding training this year | | Total numbers who still need Introductory Safeguarding training or are due for refresher |
|  | |  |
| 1. Have active elders of the Kirk Session and other trustees attended **Trustee Safeguarding Training** to be made aware of their responsibilities for the safe recruitment and management of volunteers; and ensuring that effective arrangements are in place to prevent and respond to harm?*(Kirk Session responsibilities are set out in the Safeguarding Act and Safeguarding Committee directory of Safeguarding Committee General Assembly Deliverances.)* |  | Total numbers of trustees who attended training this year | | Total numbers of trustees still needing training or are due for refresher |
|  | |  |
| 1. Has the **Safeguarding Coordinator**, Safeguarding Panel and ministers or associated roles) attended Advanced Safeguarding Training?Please fill in the training needs in notes field. |  | Total number who attended Advanced Safeguarding training this year | | Total number who still need Advanced Safeguarding training or are due for refresher |
|  | |  |
| 1. Has the Safeguarding Coordinator advised the **Presbytery Safeguarding Contact** about the safeguarding **training needs** identified in nos. 17–19, above? |  |  | | |

**Contact for more information:**

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